

Annual 47 C.F.R. § 64.2009(e) CPNI Certification For 2009

EB Docket 06-36

Annual 64.2009(e) CPNI Certification for 2009

Date filed: February 24, 2010

Name of company covered by this certification: **iCore Networks, Inc.**

Form 499 Filer ID: **826061**

Name of signatory: **Michael Avis**

Title of signatory: **Executive Vice President**

I, Michael Avis, certify that I am an officer of the company named above, and acting as an agent of the company, that I have personal knowledge that the company has established operating procedures that are adequate to ensure compliance with the Commission's CPNI rules. See 47 C.F.R. § 64.2001 *et seq.*


Attached to this certification is an accompanying statement explaining how the company's procedures ensure that the company is in compliance with the requirements set forth in section 64.2001 *et seq.* of the Commission's rules.

The company has not taken any actions (proceedings instituted or petitions filed by a company at either state commissions, the court system, or at the Commission against data brokers) against data brokers in the past year. Companies must report on any information that they have with respect to the processes pretexters are using to attempt to access CPNI, and what steps companies are taking to protect CPNI. The company has adopted measures to protect CPNI, including CPNI protection practices, procedures and training designed to ensure compliance with the FCC's CPNI Rules.

The company has not received any customer complaints in the past year concerning the unauthorized release of CPNI. Nor is the company aware of any instances involving unauthorized disclosure of CPNI or improper access of CPNI by company employees or access by individuals not authorized to receive or view the information.

Printed Name: Michael J. Avis

Position: EVP Finance

Signature: 

Date: 2/24/2010

**STATEMENT OF POLICY IN TREATMENT OF
CUSTOMER PROPRIETARY NETWORK INFORMATION**

1. It is iCore Networks, Inc.'s (hereinafter referred to as "iCore") policy not to use CPNI for any activity other than permitted by law. Any disclosure of CPNI to other parties (such as affiliates, vendors, and agents) occurs only if it is necessary to conduct a legitimate business activity related to the services already provided by the company to the customer. If the company is not required by law to disclose the CPNI or if the intended use does not fall within one of the carve outs, the company will first obtain the customer's consent prior to using CPNI.
2. iCore follows industry-standard practices to prevent unauthorized access to CPNI by a person other than the subscriber or iCore. However, iCore cannot guarantee that these practices will prevent every unauthorized attempt to access, use, or disclose personally identifiable information. Therefore:
 - A. If an unauthorized disclosure were to occur, iCore shall provide notification of the breach within seven (7) days to the United States Secret Service ("USSS") and the Federal Bureau of Investigation ("FBI").
 - B. iCore shall wait an additional seven (7) days from its government notice prior to notifying the affected customers of the breach.
 - C. Notwithstanding the provisions in subparagraph B above, iCore shall not wait the additional seven (7) days to notify customers if iCore determines that there is an immediate risk of irreparable harm to the customers.
 - D. iCore shall maintain records of discovered breaches for a period of at least two (2) years.
3. All employees will be trained as to when they are, and are not, authorized to use CPNI upon employment with the Company and annually thereafter.
 - A. Specifically, iCore shall prohibit its personnel from releasing CPNI based upon a customer-initiated telephone call except under the following three (3) circumstances:
 1. When the customer has pre-established a password.
 2. When the information requested by the customer is to be sent to the customers address of record, or
 3. When iCore calls the customer's telephone number of record and discusses the information with the party initially identified by customer when service was initiated.

B. iCore may use CPNI for the following purposes:

- To initiate, render, maintain, repair, bill and collect for services;
- To protect its property rights; or to protect its subscribers or other carriers from fraudulent, abusive, or the unlawful use of, or subscription to, such services;
- To provide inbound telemarketing, referral or administration services to the customer during a customer initiated call and with the customer's informed consent.
- To market additional services to customers that are within the same categories of service to which the customer already subscribes;
- To market services formerly known as adjunct-to-basic services; and
- To market additional services to customers with the receipt of informed consent via the use of opt-in or opt-out, as applicable.

4. Prior to allowing access to Customer's individually identifiable CPNI to iCore's joint ventures or independent contractors, iCore will require, in order to safeguard that information, their entry into both confidentiality agreements that ensure compliance with this Statement and shall obtain opt-in consent from a customer prior to disclosing the information. In addition, iCore requires all outside Dealers and Agents to acknowledge and certify that they may only use CPNI for the purpose for which that information has been provided.
5. iCore requires express written authorization from the customer prior to dispensing CPNI to new carriers, except as otherwise required by law.
6. iCore does not market, share or otherwise sell CPNI information to any third party.
7. iCore maintains a record of its own and its affiliate's sales and marketing campaigns that use iCore's customers' CPNI. The record will include a description of each campaign, the specific CPNI that was used in the campaign, and what products and services were offered as a part of the campaign.

A. Prior to the commencement of a sales or marketing campaign that utilizes CPNI, iCore establishes the status of a customer's CPNI approval. The following sets forth the procedure followed by iCore.

- Prior to any solicitation for customer approval, iCore will notify customers of their right to restrict the use of, disclosure of, and access to their CPNI;
- iCore will use opt-in approval for any instance in which iCore must obtain customer approval prior to using, disclosing, or permitting access to CPNI;
- A customer's approval or disapproval remains in effect until the customer revokes or limits such approval or disapproval;
- Records of approvals are maintained for at least one year;

- iCore provides individual notice to customers when soliciting approval to use, disclose or permit access to CPNI; and,
 - The content of iCore's CPNI notices comply with FCC rule 64.2008(c).
8. iCore has implemented a system to obtain approval and informed consent from its customers prior to the use of CPNI for marketing purposes. This system allows for the status of a customer's CPNI approval to be clearly established prior to the use of CPNI.
 9. iCore has a supervisory review process regarding compliance with the CPNI rules for outbound marketing situations and will maintain compliance records for at least one year. Specifically, iCore's sales personnel will obtain express approval of any proposed outbound marketing request for customer approval of the use of CPNI by The General Counsel of iCore.
 10. iCore notifies customers immediately of any account changes, including address of record, authentication, online account and password related changes.
 11. iCore may negotiate alternative authentication procedures for services that iCore provides to business customers that have a dedicated account representative and a contract that specifically addresses iCore's protection of CPNI.
 12. iCore is prepared to provide written notice within five (5) business days to the FCC of any instance where the opt-in mechanisms do not work properly to such a degree that customer's inability to opt-in is more than an anomaly.